

United States Bankruptcy Court
Southern District of New York

In re **NSB Advisors LLC**Case No. **15-35009 (CGM)**

Debtor

Chapter **11**

SUMMARY OF SCHEDULES

Indicate as to each schedule whether that schedule is attached and state the number of pages in each. Report the totals from Schedules A, B, D, E, F, I, and J in the boxes provided. Add the amounts from Schedules A and B to determine the total amount of the debtor's assets. Add the amounts of all claims from Schedules D, E, and F to determine the total amount of the debtor's liabilities. Individual debtors must also complete the "Statistical Summary of Certain Liabilities and Related Data" if they file a case under chapter 7, 11, or 13.

NAME OF SCHEDULE	ATTACHED (YES/NO)	NO. OF SHEETS	ASSETS	LIABILITIES	OTHER
A - Real Property	Yes	1	0.00		
B - Personal Property	Yes	4	708,822.89		
C - Property Claimed as Exempt	No	0			
D - Creditors Holding Secured Claims	Yes	1		0.00	
E - Creditors Holding Unsecured Priority Claims (Total of Claims on Schedule E)	Yes	2		0.00	
F - Creditors Holding Unsecured Nonpriority Claims	Yes	10		31,060,406.84	
G - Executory Contracts and Unexpired Leases	Yes	3			
H - Codebtors	Yes	1			
I - Current Income of Individual Debtor(s)	No	0			N/A
J - Current Expenditures of Individual Debtor(s)	No	0			N/A
Total Number of Sheets of ALL Schedules		22			
	Total Assets		708,822.89		
		Total Liabilities		31,060,406.84	

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE A - REAL PROPERTY

Except as directed below, list all real property in which the debtor has any legal, equitable, or future interest, including all property owned as a cotenant, community property, or in which the debtor has a life estate. Include any property in which the debtor holds rights and powers exercisable for the debtor's own benefit. If the debtor is married, state whether husband, wife, both, or the marital community own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor holds no interest in real property, write "None" under "Description and Location of Property."

Do not include interests in executory contracts and unexpired leases on this schedule. List them in Schedule G - Executory Contracts and Unexpired Leases.

If an entity claims to have a lien or hold a secured interest in any property, state the amount of the secured claim. See Schedule D. If no entity claims to hold a secured interest in the property, write "None" in the column labeled "Amount of Secured Claim." If the debtor is an individual or if a joint petition is filed, state the amount of any exemption claimed in the property only in Schedule C - Property Claimed as Exempt.

Description and Location of Property	Nature of Debtor's Interest in Property	Husband, Wife, Joint, or Community	Current Value of Debtor's Interest in Property, without Deducting any Secured Claim or Exemption	Amount of Secured Claim
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None

Sub-Total >	0.00	(Total of this page)
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Total >	0.00
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0 continuation sheets attached to the Schedule of Real Property

(Report also on Summary of Schedules)

SCHEDULE B - PERSONAL PROPERTY

Except as directed below, list all personal property of the debtor of whatever kind. If the debtor has no property in one or more of the categories, place an "x" in the appropriate position in the column labeled "None." If additional space is needed in any category, attach a separate sheet properly identified with the case name, case number, and the number of the category. If the debtor is married, state whether the husband, wife, both, or the marital community own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor is an individual or a joint petition is filed, state the amount of any exemptions claimed only in Schedule C - Property Claimed as Exempt.

Do not include interests in executory contracts and unexpired leases on this schedule. List them in Schedule G - Executory Contracts and Unexpired Leases.

If the property is being held for the debtor by someone else, state that person's name and address under "Description and Location of Property." If the property is being held for a minor child, simply state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed R. Bankr. P. 1007(m).

Type of Property	N O N E	Description and Location of Property	Husband, Wife, Joint or Community	Current Value of Debtor's Interest In Property, With- Out Deducting Any Secured Claim or Exemption
1. Cash on hand.	X			
2. Checking, savings or other financial accounts, certificates of deposit or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		J.P. MorganChase Bank 15 Merritt Blvd Fishkill, NY 12524 [Operating Account] Account # XXXXXXXXXXXX5565	-	\$586.20
		Pershing Advisor Solutions LLC One Pershing Plaza, 14th Floor Jersey City, NJ 07399 [Management Fee Account] Account # XXX-XX1005		\$215,291.24
3. Security deposits with public utilities, telephone companies, landlords, and others.		Westage Medical Development II, LLC 200 Westage Business Center Drive Suite 120 Fishkill, NY 12524 [Security Deposit with Landlord]	-	\$13,945.45
4. Household goods and furnishings, including audio, video, and computer equipment.	X			
5. Books; pictures and other art objects; antiques; stamp, coin, record, tape, compact disc, and other collections or collectibles.	X			
6. Wearing apparel.	X			
7. Furs and jewelry.	X			
8. Firearms and sports, photographic, and other hobby equipment.	X			

In re NSB Advisors LLC
DebtorCase No. 15-35009 (CGM)
(If known)**SCHEDULE B - PERSONAL PROPERTY**

(Continuation Sheet)

Type of Property	N O N E	Description and Location of Property	Husband, Wife, Joint or Community	Current Value of Debtor's Interest In Property, With- Out Deducting Any Secured Claim or Exemption
9. Interests in insurance policies. Name insurance company of each policy and itemize surrender or refund value of each.	X			
10. Annuities. Itemize and name each issuer.	X			
11. Interests in an education IRA as defined in 26 U.S.C. § 530(b)(1) or under a qualified State tuition plan as defined in 26 U.S.C. § 529(b)(1). Give particulars. (File separately the record(s) of any such interest(s). 11 U.S.C. § 521(c).)	X			
12. Interests in IRA, ERISA, Keogh, or other pension or profit sharing plans. Give particulars.	X			
13. Stock and interests in incorporated and unincorporated businesses. Itemize.	X			
14. Interests in partnerships or joint ventures. Itemize.	X			
15. Government and corporate bonds and other negotiable and nonnegotiable instruments.	X			
16. Accounts receivable.		Client Fees Payable		\$465,000* <i>*this amount is an estimate as client fees are calculated on a final basis at the end of the 1st fiscal quarter, in early April.</i>
17. Alimony, maintenance, support, and property settlements to which the debtor is or may be entitled. Give particulars.	X			
18. Other liquidated debts owed to debtor including tax refunds. Give particulars.	X			

In re NSB Advisors LLC
DebtorCase No. 15-35009 (CGM)
(If known)**SCHEDULE B - PERSONAL PROPERTY**

(Continuation Sheet)

Type of Property	N O N E	Description and Location of Property	Husband, Wife, Joint or Community	Current Value of Debtor's Interest In Property, With- Out Deducting Any Secured Claim or Exemption
19. Equitable or future interests, life estates, and rights or powers exercisable for the benefit of the debtor other than those listed in Schedule A - Real Property.	X			
20. Contingent and noncontingent interests in estate of a decedent, death benefit plan, life insurance policy, or trust.	X			
21. Other contingent and unliquidated claims of every nature, including tax refunds, counterclaims of the debtor, and rights to setoff claims. Give estimated value of each.		Pending arbitration against broker-dealer, C.L. King, seeking \$50 million in damages.	-	Undetermined
22. Patents, copyrights, and other intellectual property. Give particulars.	X			
23. Licenses, franchises, and other general intangibles. Give particulars.	X			
24. Customer lists or other compilations containing personally identifiable information (as defined in 11 U.S.C. § 101(41A)) provided to the debtor by individuals in connection with obtaining a product or service from the debtor primarily for personal, family, or household purposes.	X			
25. Automobiles, trucks, trailers, and other vehicles and accessories.	X			
26. Boats, motors, and accessories.	X			
27. Aircraft and accessories.	X			
28. Office equipment, furnishings, and supplies.		Computer equipment and office furniture at cost, net of depreciation.	-	\$14,000
29. Machinery, fixtures, equipment, and supplies used in business.	X			
30. Inventory.	X			
31. Animals.	X			

In re NSB Advisors LLC
DebtorCase No. 15-35009 (CGM)
(If known)**SCHEDULE B - PERSONAL PROPERTY**

(Continuation Sheet)

Type of Property	N O N E	Description and Location of Property	Husband, Wife, Joint or Community	Current Value of Debtor's Interest In Property, With- Out Deducting Any Secured Claim or Exemption
32. Crops - growing or harvested. Give particulars.				
33. Farming equipment and implements.	X			
34. Farm supplies, chemicals, and feed.	X			
35. Other personal property of any kind not already listed. Itemize.	X			
			Total >	\$708,822.89

(Report also on Summary of Schedules)

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS

State the name, mailing address, including zip code, and last four digits of any account number of all entities holding claims secured by property of the debtor as of the date of filing of the petition. The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. List creditors holding all types of secured interests such as judgment liens, garnishments, statutory liens, mortgages, deeds of trust, and other security interests.

List creditors in alphabetical order to the extent practicable. If a minor child is a creditor, the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m). If all secured creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor", include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H", "W", "J", or "C" in the column labeled "Husband, Wife, Joint, or Community".

If the claim is contingent, place an "X" in the column labeled "Contingent". If the claim is unliquidated, place an "X" in the column labeled "Unliquidated". If the claim is disputed, place an "X" in the column labeled "Disputed". (You may need to place an "X" in more than one of these three columns.)

Total the columns labeled "Amount of Claim Without Deducting Value of Collateral" and "Unsecured Portion, if Any" in the boxes labeled "Total(s)" on the last sheet of the completed schedule. Report the total from the column labeled "Amount of Claim" also on the Summary of Schedules and, if the debtor is an individual with primarily consumer debts, report the total from the column labeled "Unsecured Portion" on the Statistical Summary of Certain Liabilities and Related Data.

Check this box if debtor has no creditors holding secured claims to report on this Schedule D.

CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR H W J C	Husband, Wife, Joint, or Community	DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND VALUE OF PROPERTY SUBJECT TO LIEN	C O N T I N G E N T		U N L I Q U I D A T E D		AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL	UNSECURED PORTION, IF ANY
				DISPUTED	UNLIQUIDATED	DISPUTED	UNLIQUIDATED		
Account No.									
			Value \$						
Account No.									
			Value \$						
Account No.									
			Value \$						
Account No.									
			Value \$						
Subtotal (Total of this page)									
Total (Report on Summary of Schedules)								0.00	0.00

0 continuation sheets attached

In re **NSB Advisors LLC**Case No. **15-35009 (CGM)**

Debtor

SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

A complete list of claims entitled to priority, listed separately by type of priority, is to be set forth on the sheets provided. Only holders of unsecured claims entitled to priority should be listed in this schedule. In the boxes provided on the attached sheets, state the name, mailing address, including zip code, and last four digits of the account number, if any, of all entities holding priority claims against the debtor or the property of the debtor, as of the date of the filing of the petition. Use a separate continuation sheet for each type of priority and label each with the type of priority.

The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. If a minor child is a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H-Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the claim is contingent, place an "X" in the column labeled "Contingent." If the claim is unliquidated, place an "X" in the column labeled "Unliquidated." If the claim is disputed, place an "X" in the column labeled "Disputed." (You may need to place an "X" in more than one of these three columns.)

Report the total of claims listed on each sheet in the box labeled "Subtotals" on each sheet. Report the total of all claims listed on this Schedule E in the box labeled "Total" on the last sheet of the completed schedule. Report this total also on the Summary of Schedules.

Report the total of amounts entitled to priority listed on each sheet in the box labeled "Subtotals" on each sheet. Report the total of all amounts entitled to priority listed on this Schedule E in the box labeled "Totals" on the last sheet of the completed schedule. Individual debtors with primarily consumer debts report this total also on the Statistical Summary of Certain Liabilities and Related Data.

Report the total of amounts not entitled to priority listed on each sheet in the box labeled "Subtotals" on each sheet. Report the total of all amounts not entitled to priority listed on this Schedule E in the box labeled "Totals" on the last sheet of the completed schedule. Individual debtors with primarily consumer debts report this total also on the Statistical Summary of Certain Liabilities and Related Data.

Check this box if debtor has no creditors holding unsecured priority claims to report on this Schedule E.

TYPES OF PRIORITY CLAIMS (Check the appropriate box(es) below if claims in that category are listed on the attached sheets) **Domestic support obligations**

Claims for domestic support that are owed to or recoverable by a spouse, former spouse, or child of the debtor, or the parent, legal guardian, or responsible relative of such a child, or a governmental unit to whom such a domestic support claim has been assigned to the extent provided in 11 U.S.C. § 507(a)(1).

 Extensions of credit in an involuntary case

Claims arising in the ordinary course of the debtor's business or financial affairs after the commencement of the case but before the earlier of the appointment of a trustee or the order for relief. 11 U.S.C. § 507(a)(3).

 Wages, salaries, and commissions

Wages, salaries, and commissions, including vacation, severance, and sick leave pay owing to employees and commissions owing to qualifying independent sales representatives up to \$12,475* per person earned within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(4).

 Contributions to employee benefit plans

Money owed to employee benefit plans for services rendered within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(5).

 Certain farmers and fishermen

Claims of certain farmers and fishermen, up to \$6,150* per farmer or fisherman, against the debtor, as provided in 11 U.S.C. § 507(a)(6).

 Deposits by individuals

Claims of individuals up to \$2,775* for deposits for the purchase, lease, or rental of property or services for personal, family, or household use, that were not delivered or provided. 11 U.S.C. § 507(a)(7).

 Taxes and certain other debts owed to governmental units

Taxes, customs duties, and penalties owing to federal, state, and local governmental units as set forth in 11 U.S.C. § 507(a)(8).

 Commitments to maintain the capital of an insured depository institution

Claims based on commitments to the FDIC, RTC, Director of the Office of Thrift Supervision, Comptroller of the Currency, or Board of Governors of the Federal Reserve System, or their predecessors or successors, to maintain the capital of an insured depository institution. 11 U.S.C. § 507 (a)(9).

 Claims for death or personal injury while debtor was intoxicated

Claims for death or personal injury resulting from the operation of a motor vehicle or vessel while the debtor was intoxicated from using alcohol, a drug, or another substance. 11 U.S.C. § 507(a)(10).

* Amount subject to adjustment on 4/01/16, and every three years thereafter with respect to cases commenced on or after the date of adjustment.

In re NSB Advisors LLC

Case No. 15-35009 (CGM)

Debtor

SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS
(Continuation Sheet)

Taxes and Certain Other Debts Owed to Governmental Units

TYPE OF PRIORITY

CREDITOR'S NAME, AND MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions.)	CODE H W J C TO R	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM	AMOUNT OF CLAIM			AMOUNT NOT ENTITLED TO PRIORITY, IF ANY
			CONT ING ENT	UN LI QU IDA TE D	DIS PUT ED	
Account No.		For notice purposes.				
Dutchess County Dept of Finance 22 Market Street Poughkeepsie, NY 12601						0.00
						0.00
Account No.		For notice purposes.				
Internal Revenue Service Bankruptcy Unit 290 Broadway - 5th Floor New York, NY 10007						0.00
						0.00
Account No.		For notice purposes.				
NYS Dept of Taxation & Finance Attn: Office of Counsel Bldg 9, WA Harriman Campus Albany, NY 12227						0.00
						0.00
Account No.						
Account No.						
Sheet <u>1</u> of <u>1</u> continuation sheets attached to Schedule of Creditors Holding Unsecured Priority Claims		Subtotal (Total of this page)				0.00 0.00
		Total				0.00 0.00
		(Report on Summary of Schedules)				0.00 0.00

In re NSB Advisors LLC,
DebtorCase No. 15-35009 (CGM)**SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS**

State the name, mailing address, including zip code, and last four digits of any account number, of all entities holding unsecured claims without priority against the debtor or the property of the debtor, as of the date of filing of the petition. The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. If a minor child is a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m). Do not include claims listed in Schedules D and E. If all creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community."

If the claim is contingent, place an "X" in the column labeled "Contingent." If the claim is unliquidated, place an "X" in the column labeled "Unliquidated." If the claim is disputed, place an "X" in the column labeled "Disputed." (You may need to place an "X" in more than one of these three columns.)

Report the total of all claims listed on this schedule in the box labeled "Total" on the last sheet of the completed schedule. Report this total also on the Summary of Schedules and, if the debtor is an individual with primarily consumer debts, report this total also on the Statistical Summary of Certain Liabilities and Related Data.

Check this box if debtor has no creditors holding unsecured claims to report on this Schedule F.

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR	Husband, Wife, Joint, or Community	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
Account No.							
Abacus Group Attn: Pres or Gen Counsel One Front Street, 10th Floor San Francisco, CA 94111							3,239.00
Account No.							
Accusource, LLC Attn: Ryan Kerry 120 E. Uwchlan Ave. Ste 100 Exton, PA 19341							22,500.00
Account No.							
Advent Attn: Pres or Gen Counsel 1114 Ave of the Americas #33 New York, NY 10036							0.00
Account No.							
Atlantic Business Products Attn: Pres or Gen Counsel PO Box 26200 New York, NY 10087-6200							0.00
Subtotal (Total of this page)							25,739.00

9 continuation sheets attached

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	C O D E B E T O R H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	AMOUNT OF CLAIM		
			CO N T I N G E N T	U N L I Q U I D A T E D	D I S P U T E D
Account No.					
Carroll et al., Attn:S. Edwards c/o Shepherd Smith et al. 1010 Lamar Street, Ste 900 Houston, TX 77002		-		X	X
Account No.					
Central Hudson Gas & Electric. Attn: Pres or Gen Counsel 284 South Avenue Poughkeepsie, NY 12601		-			
Account No.					
Chubb Specialty Insurance St. Paul Plaza 200 St. Paul Place, 23rd Floor Baltimore, MD 21202		-			
Account No.					
DIRECTV Attn: Pres or Gen Counsel 2230 E. Imperial Hwy El Segundo, CA 90245		-			
Account No.					
Docutrend Imaging Solutions Attn: Pres or Gen Counsel 575 8th Avenue, FL 10 New York, NY 10018		-			
Sheet no. <u>1</u> of <u>9</u> sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)		729.55

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	C O D E B E T O R H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	AMOUNT OF CLAIM				
			CO N T I N G E N T	U N L I Q U I D A T E D	D I S P U T E D		
Account No.							
Dow Jones Attn: Susan Frein 4300 US 1 South Brunswick Township, NJ 08852		-					412.00
Account No.							
EFCO Prods DC Non-Union Plan c/o Lax & Neville, Attn:B. Lax 1450 Broadway, 35th Fl New York, NY 10018		-		X	X	X	Undetermined
Account No.							
Elisa Dreier Reporting Corp. Attn: Pres or Gen Counsel 950 Third Ave 5th Floor New York, NY 10022		-					0.00
Account No.							
Factset Attn: Pres or Gen Counsel 601 Merritt 7 3rd Floor Norwalk, CT 06851		-					11,559.93
Account No.							
Fascat Attn: Pres or Gen Counsel 224 W. 30th St, Ste. 203 New York, NY 10001		-					11,132.00
Sheet no. <u>2</u> of <u>9</u> sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)				23,103.93

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODE H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	AMOUNT OF CLAIM		
			CONTINGENT	UNLIQUIDATED	DISPUTED
Account No.					
FedEx Attn: Pres or Gen Counsel 942 South Shady Grove Road Memphis, TN 38120		-			45.26
Account No.					
FINRA Attn: Pres or Gen Counsel 1735 K Street Washington, DC 20006		-			0.00
Account No.					
Ganfer & Shore LLP 360 Lexington Ave #14 Attn: Steven J. Shore, Esq. New York, NY 10016		-			80,749.27
Account No.					
Global Relay Communications 220 Cambie Street, 2nd Flr Vancouver BC V6B 2MB Attn: Legal		-			0.00
Account No.					
Interactive Data Attn: Legal Dept 32 Crosby Drive Bedford, MA 01730		-			823.50
Sheet no. <u>3</u> of <u>9</u> sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)		<u>81,618.03</u>

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	C O D E B E T O R H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	AMOUNT OF CLAIM		
			CO N T I N G E N T	U N L I Q U I D A T E D	D I S P U T E D
Account No.					
Jan Pro Cleaning Systems Attn: Pres or Gen Counsel 15 NOrth Mill Street Nyack, NY 10960		-			394.66
Account No.					
Kenneth Palmer as Executor C/o Brian Brick, Esq. - Napoli 350 5th Avenue, Ste. 7413 New York, NY 10018		-		X X X	75,000.00
Account No.					
Kirshon & Company, P.C. 311 Mill Street Poughkeepsie, NY 12601		-			0.00
Account No.					
Lightower Attn: Pres or Gen Counsel 80 Central Street Boxborough, MA 01719		-			1,090.00
Account No.					
Lynn Gorguze IRA, et al. C/o Daniel Weiner/Hughes et al One Battery Park Plaza New York, NY 10004		-		X X X	25,000,000.00
Sheet no. <u>4</u> of <u>9</u> sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)		25,076,484.66

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODE H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	AMOUNT OF CLAIM				
			CONTINGENT	UNLIQUIDATED	DISPUTED		
Account No. 6894							
NRSAccuity Inc. dba NRS PO Box 7247-8077 Philadelphia, PA 19170-8077		-					4,862.03
Account No.							
NSB Holdings Group LLC 3 Rivers Edge Newburgh, NY 12550		-					325,000.00
Account No. xxx2107							
NYSE Attn: Director of Market Data 11 Wall Street New York, NY 10005		-					175.16
Account No. 74183							
OPRA Pricing Attn: Pres or Gen Counsel 400 South LaSalle Chicago, IL 60605		-					263.35
Account No.							
Optimum Business Attn: Commercial Sales 6 Corporate Center Drive Melville, NY 11747		-					154.90
Sheet no. 5 of 9 sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)				330,455.44

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODE H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
Account No. 9431						
Oxford Benefit Mgmt Attn: Pres or Gen Counsel 12 Christopher Way Suite 104 Eatontown, NJ 07724		-				444.78
Account No. 7-8259						
Pitney Bowes/Purchase Power Attn: Box371874 500 Ross St, Suite 154-0470 Pittsburgh, PA 15262		-				700.00
Account No. 7542500						
Pitney Bowes/Purchase Power Attn: Box371874 500 Ross St, Suite 154-0470 Pittsburgh, PA 15262		-				155.70
Account No.						
Rutberg & Company LLC Kurt Wilson,Esq -Sweeney et al 983 University Ave Ste. 104C Los Gatos, CA 95032		-	X	X	X	265,000.00
Account No.						
Schrag et al., Attn:S. Edwards c/o Shepherd Smith et al. 1010 Lamar Street, Ste 900 Houston, TX 77002		-	X	X	X	Undetermined
Sheet no. 6 of 9 sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)			266,300.48

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	C O D E B E T O R H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	AMOUNT OF CLAIM		
			CO N T I N G E N T	U N L I Q U I D A T E D	D I S P U T E D
Account No.					
Shoretel/Attn: President 6500 River Place Blvd Bldg IV, Suite 200 Austin, TX 78730		-			969.33
Account No.					
Shred-It/Shred-it White Plains Attn: Pres or Gen Counsel 420 Columbus Ave. Suite 100 Valhalla, NY 10595		-			150.30
Account No.					
Sungard Global Network/Auto Se Attn: Contract Administration 545 Washington Blvd. 7th Flr Jersey City, NJ 07310		-			0.00
Account No.					
The Estate of Montfort c/o Rich,Intelisano,Attn:J Rich 915 Broadway, Suite 900 New York, NY 10010		-	X	X	X
Account No.					
Thomas Reed, Attn: L. Knopf c/o Law Ofc of R. W. Ganim 2192 Main Street Stratford, CT 06615		-	X	X	X
Sheet no. <u>7</u> of <u>9</u> sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)		1,119.63

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODE H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
Account No.						
Transperfect Attn: Pres or Gen Counsel 3 Park Avenue, 39th Floor New York, NY 10016		-				22,680.51
Account No.						
Utility Service Holding Co. c/o Smiley Bishop & Porter 1050 Crown Pointe Pkwy, Ste1250 Atlanta, GA 30338		-		X	X	Undetermined
Account No.						
Verizon Attn: Pres or Gen Counsel 500 Technology Dr. Ste. 550 Weldon Spring, MO 63304		-				196.78
Account No. 883234						
Verizon Wireless Attn: Pres or Gen Counsel 30 Independence Blvd Warren, NJ 07059		-				394.00
Account No. C1471861						
W.B. Mason Attn: Pres or Gen Counsel 59 Centre Street Brockton, MA 02301		-				235.60
Sheet no. 8 of 9 sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims						Subtotal (Total of this page) 23,506.89

In re **NSB Advisors LLC**Case No. 15-35009 (CGM)

Debtor

SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS
(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODE DEBTOR H W J C	Husband, Wife, Joint, or Community DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
Account No.						
Westage Medical Development II 200 Westage Business Center Dr Suite 100 Fishkill, NY 12524		-				0.00
Account No.						
William F. Nicklin 3 Rivers Edge Newburgh, NY 12550		-				5,224,938.80
Account No.						
Willis Insurance of MD Attn: Pres or Gen Counsel 12505 Park Potomac Ave Ste 300 Potomac, MD 20854		-				4,810.43
Account No.						
WilmerHale 7 World Trade Center 250 Greenwich Street New York, NY 10007		-				1,600.00
Account No.						
Sheet no. <u>9</u> of <u>9</u> sheets attached to Schedule of Creditors Holding Unsecured Nonpriority Claims			Subtotal (Total of this page)			5,231,349.23
			Total (Report on Summary of Schedules)			31,060,406.84

In re **NSB Advisors LLC**

Case No. **15-35009 (CGM)**

Debtor

SCHEDULE G - EXECUTORY CONTRACTS AND UNEXPIRED LEASES

Describe all executory contracts of any nature and all unexpired leases of real or personal property. Include any timeshare interests. State nature of debtor's interest in contract, i.e., "Purchaser", "Agent", etc. State whether debtor is the lessor or lessee of a lease. Provide the names and complete mailing addresses of all other parties to each lease or contract described. If a minor child is a party to one of the leases or contracts, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

Check this box if debtor has no executory contracts or unexpired leases.

Name and Mailing Address, Including Zip Code, of Other Parties to Lease or Contract	Description of Contract or Lease and Nature of Debtor's Interest. State whether lease is for nonresidential real property. State contract number of any government contract.
Abacus Information Technology dba Abacus Group LLC One Front Street, 10th Floor San Francisco, CA 94111	Information Technology Services Agreement.
Accusource, LLC Attn: Ryan Kerry 120 E. Uwchlan Ave. Ste 100 Exton, PA 19341	Master Services Agreement.
Advent Software, Inc. 600 Townsend Street Suite 500, Sixth Floor San Francisco, CA 94103	Advent Subscription Services Agreement.
Atlantic Business Products C/o Atlantic Tomorrows Office GPO PO Box 26200 New York, NY 10087-6200	Maintenance Agreement on copier.
Automated Securities Clearance Attn: Contract Administration 545 Washington Blvd, 7th Floor Jersey City, NJ 07310	SunGard Global Network Access Agreement.
BTIG 600 Montgomery Street, 6th FL. Attn: Pres. or Gen. Counsel San Francisco, CA 94111	Prime brokerage clearance service agreement
Cantor Fitzgerald & Co. 110 East 59th Street New York, NY 10022	Prime Brokerage Clearance Services Agreement
Client Contracts	The Debtor has approximately 410 contracts with clients, under which the Debtor provides financial advisory services and the clients pay certain fees for such services. In the event of a sale of the Debtor's assets, the Debtor will seek the consent of the clients to the assignment of such contracts to the buyer.
Dow Jones Attn: Susan Frein 4300 US 1 South Brunswick Town, NJ 08852	Subscription agreement.

In re **NSB Advisors LLC**, Case No. 15-35009 (CGM)
Debtor

SCHEDULE G - EXECUTORY CONTRACTS AND UNEXPIRED LEASES
(Continuation Sheet)

Name and Mailing Address, Including Zip Code,
of Other Parties to Lease or Contract Description of Contract or Lease and Nature of Debtor's Interest.
State whether lease is for nonresidential real property.
State contract number of any government contract.

Factset Research Systems Inc.
601 Merritt 7
Norwalk, CT 06851

License agreement.

Fascet LLC
Attn: Charles Ross
224 W. 30th Street, Ste. 203
New York, NY 10001

Application Hosting Agreement.

Global Relay Communications
220 Cambie Street, 2nd Flr
Vancouver BC V6B 2MB
Attn: Legal

Services agreement.

GMP Securities, LLC
331 Madison Ave., 12th Flr
Attn: Pres. or Gen. Counsel
New York, NY 10017

Prime brokerage clearance service agreement

**Interactive Data Pricing and
Reference Data LLC**
32 Crosby Drive
Bedford, MA 01730

Services and Licensing Agreements.

JADM Compliance Services, Inc.
Attn:James McFadden, President
124 Brookside Lane
Lagrangeville, NY 12540

Independent Consultant Agreement

Jan-Pro Cleaning Systems
15 North Mill Street
Nyack, NY 10960

Office cleaning services contract.

Light Tower Fiber Long Island
dba Lightower Fiber Networks
80 Central Street
Boxborough, MA 01719

Service provider agreement.

New York Stock Exchange, Inc.
Attn: Director of Market Data
11 Wall Street
New York, NY 10005

**Agreement for Receipt of Consolidated Network A
Data and NYSE Market Data.**

Optimum Business
Attn: Pres or Gen Counsel
1111 Stewart Avenue
Bethpage, NY 11714

Service provider agreement

Pershing Advisor Solutions LLC
One Pershing Plaza, 14th Floor
Jersey City, NJ 07399

Investment Advisor Agreement

In re **NSB Advisors LLC**, Case No. **15-35009 (CGM)**
Debtor

SCHEDULE G - EXECUTORY CONTRACTS AND UNEXPIRED LEASES
(Continuation Sheet)

Name and Mailing Address, Including Zip Code,
of Other Parties to Lease or Contract

Description of Contract or Lease and Nature of Debtor's Interest.
State whether lease is for nonresidential real property.
State contract number of any government contract.

Pitney Bowes
Attn: Maryjane Calladio
27 Waterview Drive
Shelton, CT 06484

Lease on mailing system.

Sidoti & Co., LLC
317 Madison Ave., Suite 1410
Attn: pres. or Gen. Counsel
New York, NY 10017

Prime brokerage clearance service agreement
a/k/a ConvergEx Executions Solutions

Westage Medical Development II
200 Westage Business Center Dr
Suite 120
Fishkill, NY 12524

Real property lease for premises located at 200
Westage Business Center Drive, Suite 228,
Fishkill, NY 12524

In re **NSB Advisors LLC**

Case No. **15-35009 (CGM)**

Debtor

SCHEDE H - CODEBTORS

Provide the information requested concerning any person or entity, other than a spouse in a joint case, that is also liable on any debts listed by debtor in the schedules of creditors. Include all guarantors and co-signers. If the debtor resides or resided in a community property state, commonwealth, or territory (including Alaska, Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington, or Wisconsin) within the eight year period immediately preceding the commencement of the case, identify the name of the debtor's spouse and of any former spouse who resides or resided with the debtor in the community property state, commonwealth, or territory. Include all names used by the nondebtor spouse during the eight years immediately preceding the commencement of this case. If a minor child is a codebtor or a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

Check this box if debtor has no codebtors.

NAME AND ADDRESS OF CODEBTOR

NAME AND ADDRESS OF CREDITOR

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continuation sheets attached to Schedule of Codebtors

United States Bankruptcy Court
Southern District of New YorkIn re NSB Advisors LLC

Debtor(s)

Case No. 15-35009 (CGM)
Chapter 11**DECLARATION CONCERNING DEBTOR'S SCHEDULES****DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP**

I, the Manager of the corporation named as debtor in this case, declare under penalty of perjury that I have read the foregoing summary and schedules, consisting of 24 sheets, and that they are true and correct to the best of my knowledge, information, and belief.

Date 1/5/2015Signature /s/ William F. Nicklin**William F. Nicklin**
Manager

Penalty for making a false statement or concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both.
18 U.S.C. §§ 152 and 3571.